



231/198
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

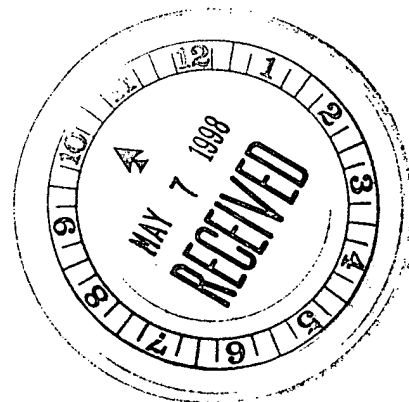
In re Application of: **Margolis
et al.**

Group Art Unit 1651

Serial No. 09/012,369

Filed: 1/23/98

For: METHODS FOR TREATMENT OF
DIAGNOSIS OF DISEASES...



COMMUNICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts mailed March 28, 1998, enclosed is a copy of a Sequence Listing Statement which was filed on January 23, 1998, along with the above-referenced application. The Sequence Listing Statement identifies a computer-readable form filed in a parent application and requests that it be used in the present application. Also

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

April 24, 1998
date of deposit

BARBARA J. KIELT
name of person mailing paper
Barbara J. Kielt
signature of person mailing paper

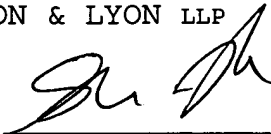
enclosed is a copy of a return postcard confirming that the Sequence Listing Statement was filed on January 23, 1998.

Applicants request that the undersigned attorney be contacted if the filed Sequence Listing Statement is not sufficient to comply with the sequence rules, or if any other action is needed to comply with the Notice to File Missing Parts.

Respectfully submitted,

LYON & LYON LLP

By



Sheldon O. Heber
Reg. No. 38,179

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(619) 552-8400



S.N. _____ Docket No. 231/98 Date Mailed 1/23/98 Atty. SAH
Title: METHODS FOR TREATMENT OF DIAGNOSIS OF DISEASE OR
(Client Name) SUGEN, INC. DISORDERS ASSOCIATED WITH
AN APM DOMAIN

The Following, due in the U.S. Patent & Trademark Office, was received in the Patent & Trademark Office on the date stamped hereon:

☒ PRELIMINARY
☒ Amendment
☒ TM Appln., Including Specimens #
☒ Application for Patent Including
16 Pages Spec.; No. of Claims 18
Assign; Ck. No. _____ for \$ _____
Verified Statement
☒ Letter of Transmittal
Maintenance Fee Transmittal
Response to Office Action
Request for Extension of Time
Statement of Use, include. specimens
☒ Check No. 4451 for \$ 872.00
Priority: _____

☒ Drawings: # of Sheets 4
_____ Formal _____ Informal
_____ Issue Fee Transmittal
_____ Letter Re _____
_____ Notice of Appeal
_____ Petition for _____
_____ Advance soft copy order.
_____ Ck. No. _____ for \$ _____
_____ Certified Copy, # _____ of Docs.
_____ IDS
☒ Express Mail # EM104356665US
☒ INFORMATION DISCLOSURE STATEMENT
☒ SEQUENCE LISTING STATEMENT
☒ SWORN COMBINED DECLARATION
POWER OF ATTORNEY

JC511 U.S. PTO
09/012369
11/23/98



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Sector

1654

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/01/98 01/33/98 MARGLIS

0232/0328

LYON & LYON
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES CA 90071

NOT ASSIGNED

DATE MAILED: 551



NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ _____.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☒ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

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